

Dr. Annasaheb G. D. Bendale Mahila Mahavidyalaya, Jalgaon

Department of Commerce & Management

Structure of the Course

1. Name of Course: Certificate Course in Capital Market

2. Objectives of the program: -

1. To bring awareness among the students about the Capital Markets.
2. To enable students to acquire the working knowledge about Securities in Capital Market.
3. To familiarize the students with the documents and records required for D-mat.
4. To enable students to acquire the basic skills to handle the D-mat account.
5. To familiarize the students with the Practical Requirement in Capital Market.

3. Duration of the Program: - 40 Hrs Add-On Certificate Course.

4. Eligibility: - 12th Pass

5. Course Structure: -

There shall be two papers as stated below:

PAPER I: Procedural Aspects of Capital Market. (Theory) [60 External + 40 Internal =100Marks]

PAPER II: Practical's Based on Opening of D-mat account & Transaction of Securities in Capital Market. (Practical) (100 Marks)

6. Teaching Hours Required: -

Total number of lectures amounting to total 40 hours for paper I & II

7. Pattern of Examination: -

The Examinations conducted by the Commerce & Management Department.

8. Scheme of Examinations: -

1. A theory papers I shall carry 40 Marks for Internal Assessment and 60 Marks for external assessment.
2. For Paper II Practical Examination shall carry 100 Marks for external assessment.

3. A student has to score minimum 50% of marks in each paper and aggregate score of 50% marks in all papers to pass the certification course in Capital Market.

9. Fees Structure: - Rs. 1000/-

10. Credit Structure: -

Paper Code	Paper Name	Total Credits	Internal	External	Total	Hours of Teaching
CM 101	Basics of Capital Market	4	40	60	100	20
CM 102	Practical on Share Trading	4	40	60	100	20

11. Course Outcome: -

Students enrolled for the Certificate Course in Capital Market will get following opportunities.

1. Opportunity to work with a Leading Broking Firm.
2. Opportunity to work in Corporate Sector in the area of Portfolio Management.
3. Creates an employability in share market.

12. Syllabus:

CM 101: - Market of New Issues

1. Market of new issues – Need for floating capital – Public Offer Private Placement – Rights Issue – Equity and Debt – Recent trends in public issues.
2. Mutual Funds – Concept and Classification – Growth and Importance – SEBI guidelines – Performance of listed schemes – Performance of Open-ended schemes.

CM 102: - Banking and Financial Institution

1. Merchant Banking –Concept – New Issue Management and allied functions – Merchant Banking in India – Regulation of merchant Banking in India – Pre issue Management – Post Issue Management – Mergers, Amalgamations and Takeovers.

2. Venture Capital – Nature and Scope – Venture Capital in India -- Venture Capital Firms – Study of Venture Capital funds of IDBI and SIDBI.

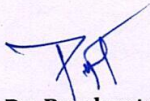
CM 103: - Secondary Markets

1. Instruments of Trading – Need for planning and development for financial instruments – Government and Corporate Securities.

2. Trading Methods – Trading Ring, On-line Trading, Carry Forward Systems – Risk management – Investor Protection.

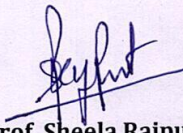
3. Government Securities Market – Government Debt Instruments – Short Term and Long Term Instruments – Trading in Debt Instruments – Gilt Edged Market.

CM 104: - Practical on D-mat, Trading in Shares



Dr. Prashant V. Patil

Course Coordinator



Prof. Sheela Rajput

Head: Dept. of Commerce

Dept. of Commerce
Dr. Annasaheb G.D. Bende
Wahila Mahavidyalaya, Jalgaon